

FORM PTO-1449	ATTY. DOCKET NO. 704117.4009	SERIAL NO. Not Yet Assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT: Richard S. Ginn	
	FILING DATE: Herewith (1/2/2004)	PREVIOUS GROUP: 3738

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,683,655	8/15/1972	White, et al.			
	3,757,783	9/11/1973	Alley			
	5,306,234	4/26/1994	Johnson			
	5,855,601	1/5/1999	Bessler, et al.			
	5,588,424	12/31/1996	Insler, et al.			
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	5,707,352	1/13/1998	Sekins, et al.			
	5,904,648	5/18/1999	Arndt, et al.			
	5,954,636	9/21/1999	Schwartz, et al.			
	6,003,517	12/21/1999	Sheffield, et al.			
	6,020,380	2/1/2000	Killian			
	6,027,525	2/22/2000	Suh, et al.			
	6,174,323 B1	1/16/2001	Biggs, et al.			
	6,258,100 B1	7/10/2001	Alferness, et al.			
	Published 2002/0112729 A1	08/22/2002	DeVore et al.			
	Published 2001/0052344 A1	12/20/2001	Doshi			
	Published 2001/0037808 A1	11/09/2001	Deem et al.			
	6,327,505 B1	12/04/2001	Medhkour et al.			

DOCSOCI:145906.1 704117-4009 J2GOC-89658.1 EXAMINER: Not yet assigned	 DATE CONSIDERED: <i>11/9/04</i>
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

<i>BS</i>		Clifton A. Alferness, et al., EPO Publication No. EP 1 078 601 A2, "Lung Volume Reduction Apparatus", 2.28/2001.
		T. Sabanathan, PCT Publication No. WO 98/48706, "Occlusion Device", 11/5/1998.
		C. Danek, et al., PCT Publication No. WO 00/62699, "Modification of Airways by Application of Energy", 10/26/2000.
		Rodney A. Perkins, et al., PCT Publication No. WO 01/02042 A1, "Methods, Systems, and Kits for Lung Volume Reduction", 1/11/2001.
<i>BS</i>		Clifton A. Alferness, et al., PCT Publication No. WO 01/13839 Amendment, "Lung Reduction Device, System, and Method, 3/1/2001.
		Edward P. Ingenito, PCT Publication No. WO 01/13908 A2, "Tissue Volume Reduction", 3/1/2001.

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